

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Pattent and Trademark Office Address: COMMISSIONER FOR PATENTS P.C. Box 1450 Alexandria, Viginia 22313-1450 www.usplo.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/820,638

04/08/2004

Thanthrimudalige D. J. Dunstan

3050-004

33432 KILYK & BOWERSOX, P.L.L.C. 53 A EAST LEE STREET WARRENTON, VA 20186



KILYK & BOWERSOX, P.L.L.C.

CONFIRMATION NO. 8823 FORMALITIES LETTER OC000000013044225*

Date Mailed: 06/23/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/04/2004 JADDO1

00000032 10820638

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 02 FC:1201 03 FC:1202 04 FC:1051

770.00 OP 86.00 OP 666.00 OP 130.00 DP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$752 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1652 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

DUE DATE 8 23 OU HTK

Total additional claim fee(s) for this application is \$752

■ \$86 for 1 independent claims over 3.

■ \$666 for 37 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant Claims small entity status. See 37 CFR 1.27

OTAL AMOUNT OF PAYMENT (\$) 1,652.00

Complete if Known					
Application Number	10/820,638				
Filing Date	April 8, 2004				
First Named Inventor	Dunstan et al.				
Examiner Name	Unassigned				
Art Unit	1745				
Attorney Docket No.	3050-004				

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)						
Check X Credit card Money Other None		DDITIO		EES Entity	<u></u>			
Deposit Account	Fee	Fee (\$)	Fee	Fee	Fee Description	Fee Paid		
Deposit Account Number	1051	130	2051	(\$) 65	Surcharge – late filing fee or oath	130.00		
Deposit Account Name Kilyk & Bowersox, P.L.L.C.	1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet			
The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification			
Charge fee(s) indicated below X Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination			
X Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to			
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Examiner action Requesting publication of SIR after Examiner action			
FEE CALCULATION	1251	110	2254	E E	Extension for ranks within first month			
	1251	110	2251	55	Extension for reply within first month			
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month			
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Paid	1253	950	2253	475	Extension for reply within third month			
Fee Fee Fee Fee Fee Description Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month			
1001 770 2001 385 Utility filing fee 770.00	1255	2,010	2255	1,005	Extension for reply within fifth month			
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal			
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal			
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing			
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
	1452	110	2452	55	Petition to revive – unavoidable			
SUBTOTAL (1) (\$) 770.00	1453	1,330	2453	665	Petition to revive – unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)			
Fee from Extra Claims below Fee Paid	1502	480	2502	240	Design issue fee			
Total Claims 57 -20**= 37 x 18 = 666.00	1503	640	2503	320	Plant issue fee			
Independent	1460	130	1460	130	Petitions to the Commissioner			
Multiple Dependent =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt			
Fee Fee Fee Fee Fee Fee Description Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)			
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))			
1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))			
1203 290 2203 145 Multiple dependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)			
1204 86 2204 43 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application			
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent								
SUBTOTAL (2) (\$) 752.00	Other	fee (spe	cify)					
** or number previously paid, if greater; For Reissues, see above	*Reduc	ed by Bas	ic Filing	Fee Paid	SUBTOTAL (3) (\$) 130.	00		

SUBMITTED BY

Name (Print/Type)

Luke A. Kilyk

Registration No. (Attorney/Agent)

Signature

Date

August 2, 2004

WARNING: Information on this form may be come at the formation on this form may be come at the formation on this form may be come at the formation on this form may be come at the formation on this form may be come at the formation on this formation on this form may be come at the formation on the formation on this form may be come at the formation on this formation on this form may be come at the formation of the formation on this formation on this formation on the formation of the formati

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFF. 17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the

This collection of information is required by 37 CFM/17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. END TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Date: August 2, 2004 I hereby certify that, on the date indicated above, I deposited this paper with identified attachments and/or fee with the U.S. Postal Service and that it was addressed for delivery to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA

22313-1450 by "First Class Mail" service.

Donald S. Prater

Name (Print)

Signature

Date: August 2, 2004 I hereby certify that, on the date indicated above, I deposited this paper with identified attachments and/or fee with the U.S. Postal Service and that it was addressed for delivery to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 by "First Class Mail" service.

onald S. Prater

ame (Print)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: DUNSTAN et al.

Application No.: 10/820,638

Filed: April 8, 2004

Docket No.: 3050-004

Examiner: Unassigned

Confirmation No.: 8823

For: LITHIUM-ION CELL WITH A WIDE OPERATING TEMPERATURE RANGE

RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

August 2, 2004

Dear Sir:

In response to the Notice to File Missing Parts of Non-provisional Application dated June 23, 2004, enclosed for filing is an executed Declaration for the above-identified patent application.

Also enclosed is a Credit Card Payment Form in the amount of \$1,652.00, covering the \$130.00 surcharge for the late filing of the executed Declaration, the basic filing fee of \$770.00, and the extra claims fee of \$752.00 for a large entity. In the event that any additional fees are due with this paper, please charge Deposit Account No. 50-0925.

Respectfully submitted,

Luke A. Kilyk

Reg. No. 33,251

Docket No.: 3050-004

KILYK & BOWERSOX, P.L.L.C.

53 A East Lee Street Warrenton, VA 20186 Tel.: (540) 428-1701

Fax: (540) 428-1720